



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



















Date \_\_\_\_\_

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- b. ☐ after the events of above paragraph 1a and prior to the mailing date of a final Office Action or Notice of Allowance, and thus: ☐ the certification of paragraph 2 below is provided, or ☐ a fee of \$180.00 is enclosed below.
- c. ☒ after the mailing date of a final Office Action or a Notice of Allowance and prior to payment of the issue fee, and thus: the certification of paragraph 2 below is provided and a fee of \$180.00 is enclosed below.

2. It is hereby certified:

- ☐ that each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Statement, or
- ☐ that no item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the person signing the certification after making reasonable inquiry, was known to any individual designated in § 1.56 (c) more than three months prior to the filing of the Statement.

3. ☐ Consideration of the following additional information (including any co-pending or abandoned U.S. applications, prior uses and/or sales, etc.) is requested:

4. For each non-English language reference listed on the attached Form PTO-1449:

- ☒ reference is made to an English language translation (abstract) submitted herewith, and/or
- ☐ reference is made to a foreign patent office search report (in the English language) submitted herewith, and/or
- ☐ reference is made to an English language translation of a foreign patent office search report submitted herewith, and/or
- ☐ reference is made to the concise explanation contained in the specification of the present application at page(s) \_\_\_\_\_, and/or
- ☐ reference is made to the concise explanation set forth below:

5. ☐ Applicant also offers the following comments for the Examiner's consideration:

6. ☐ Also enclosed is a copy of a foreign search report citing these references.

7. ☐ The listed documents were brought to the attention of the Applicant(s) after payment of the issue fee in the captioned case. The documents were cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. Applicant(s) request this Information Disclosure Statement and attached Form PTO-1449 be placed in the file of the captioned application.

8. ☐ Applicant(s) requests that the Information Disclosure Statement and attached Form PTO-1449 and references, which are being filed before the grant of the patent and pursuant to 37 C.F.R. § 1.97(i), be placed in the file of the captioned application.

The Commissioner is hereby authorized to charge any fees which may be required or credit any overpayment to Meyertons, Hood, Kivlin, Kowert & Goetzel PC, Deposit Account No. 50-1505/5602-09300/JCH.

Respectfully submitted,



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Date: 9/9/2004

**Form PTO-1449** (modified)  
List of Patents and Publications  
For Applicant's Information  
Disclosure Statement  
(Use several sheets if necessary)

ATTY. DOCKET NO: 5602-09300

SERIAL NO: 09/728,667

APPLICANT: Heller et al.

FILING DATE: December 1, 2000

GROUP: 2182

**U.S. PATENT DOCUMENTS**

| EXAM.<br>INITIALS | REF.<br>DES | DOCUMENT<br>NUMBER | DATE    | NAME | CLASS | SUB<br>CLASS | FILING DATE IF<br>APPROPRIATE |
|-------------------|-------------|--------------------|---------|------|-------|--------------|-------------------------------|
|                   | G1          | 5,311,302          | 5/10/94 |      |       |              |                               |
|                   |             |                    |         |      |       |              |                               |
|                   |             |                    |         |      |       |              |                               |
|                   |             |                    |         |      |       |              |                               |

**FOREIGN PATENT DOCUMENTS**

| EXAM.<br>INITIALS | REF.<br>DES | DOCUMENT<br>NUMBER | DATE    | COUNTRY | CLASS | SUB<br>CLASS | TRANSLATION.<br>YES/NO |
|-------------------|-------------|--------------------|---------|---------|-------|--------------|------------------------|
|                   | G2          | KR 2001106740 A    | 12/7/01 | Korea   |       |              | Yes<br>(abstract)      |
|                   | G3          | WO 95/00917        | 1/5/95  | WIPO    |       |              |                        |
|                   | G4          | WO 99/18533        | 4/15/99 | WIPO    |       |              |                        |

**OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)**

|  |    |  |
|--|----|--|
|  | G5 | Meece, Mickey, "Plastic to Be Aboard When Gaming Takes Flight," American Banker, January 7, 1994, Vol. 159, No. 5, page 14.                          |
|  | G6 | Hartley, Patrick H., "Cut Costs With Computing," School Planning and Management, May 2001, Vol. 40, No. 5, p. 69 (five pages).                       |
|  | G7 | Microsoft Press Computer Dictionary, Microsoft, 1997, Third Edition, pp. 75-76.  |
|  | G8 | Syromyatnikov, I. Y., et al., "Performance Analysis of Video Conferencing on Homogenous and Heterogenous Networks," 1996, IEEE, Vol. 2, pp. 548-551. |
|  | G9 | Cox, John, "New breed of vendors embrace thin clients," August 31, 1998, Network World, pp. 1-3.   |

EXAMINER:

DATE CONSIDERED:

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the patent owner.

Information Disclosure Statement--PTO 1449 (modified)